



Docket No.: E3879.0004/P004
(PATENT)

9/20/02
R4
10/B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Edward R. Howorka, et al.

Application No.: 09/603,523

Group Art Unit: 2761

Filed: June 23, 2000

Examiner: Not Yet Assigned

For: ARCHITECTURE FOR ANONYMOUS
TRADING SYSTEM

SECOND PRELIMINARY AMENDMENT

Box Fee Amendment
Commissioner for Patents
Washington, DC 20231

RECEIVED
AUG 14 2002
GROUP 3600

Dear Sir:

Prior to examination on the merits, please amend the above-identified U.S.
patent application as follows:

In the Specification

Paragraph at page 4, line 21 to page 4, line 27:

6' A system embodying the invention is such that each broker node comprises means
for generating a message notifying other broker nodes of the existence of a quote
submitted by one broker node. This distribution mechanism ensures that all broker nodes
have an up-to-date store of all quotes available in the system.

09603523
502215
01 FC:103
02 FC:102
35.00 CH
160.00 CH